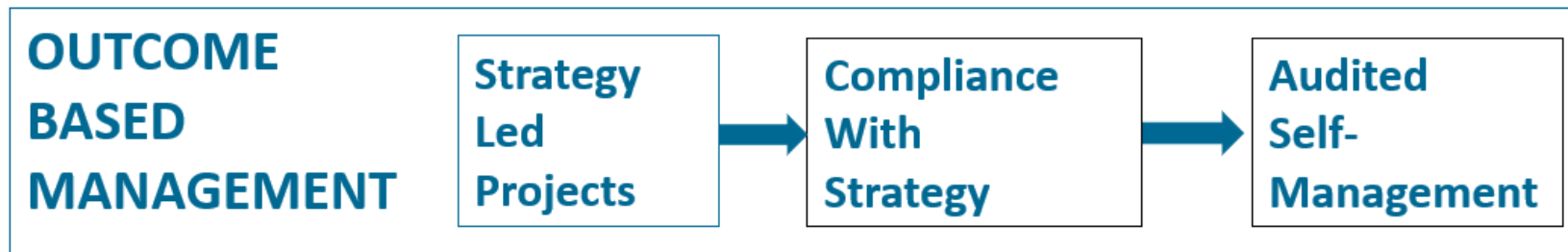
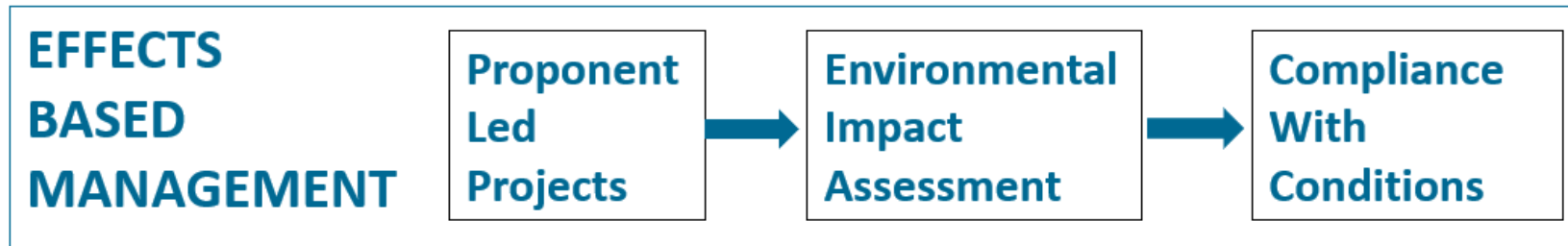


# OUTCOME-BASED MANAGEMENT FOR SUSTAINABILITY

Bryan Jenkins

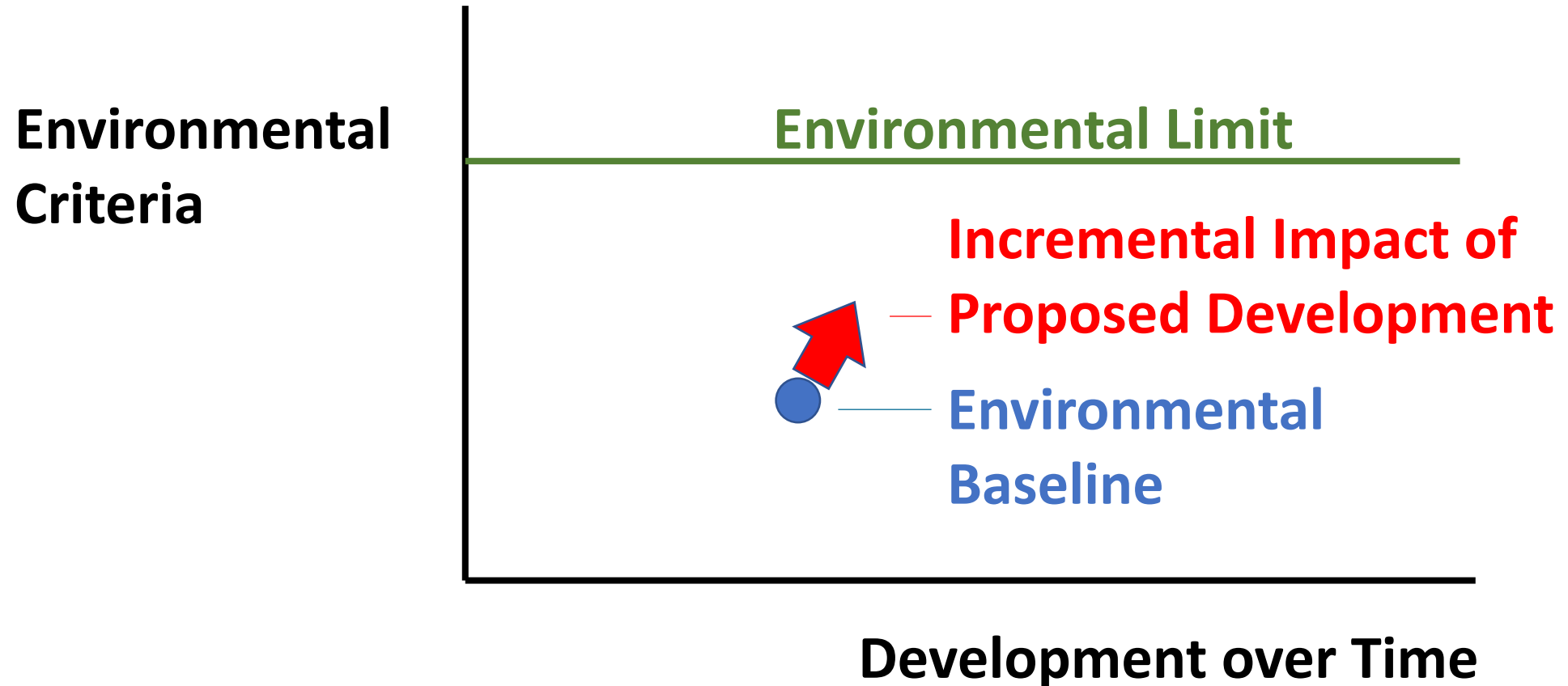
Adjunct Professor, University of Adelaide

**PROBLEM:** Effects-based management is not delivering environmental outcomes

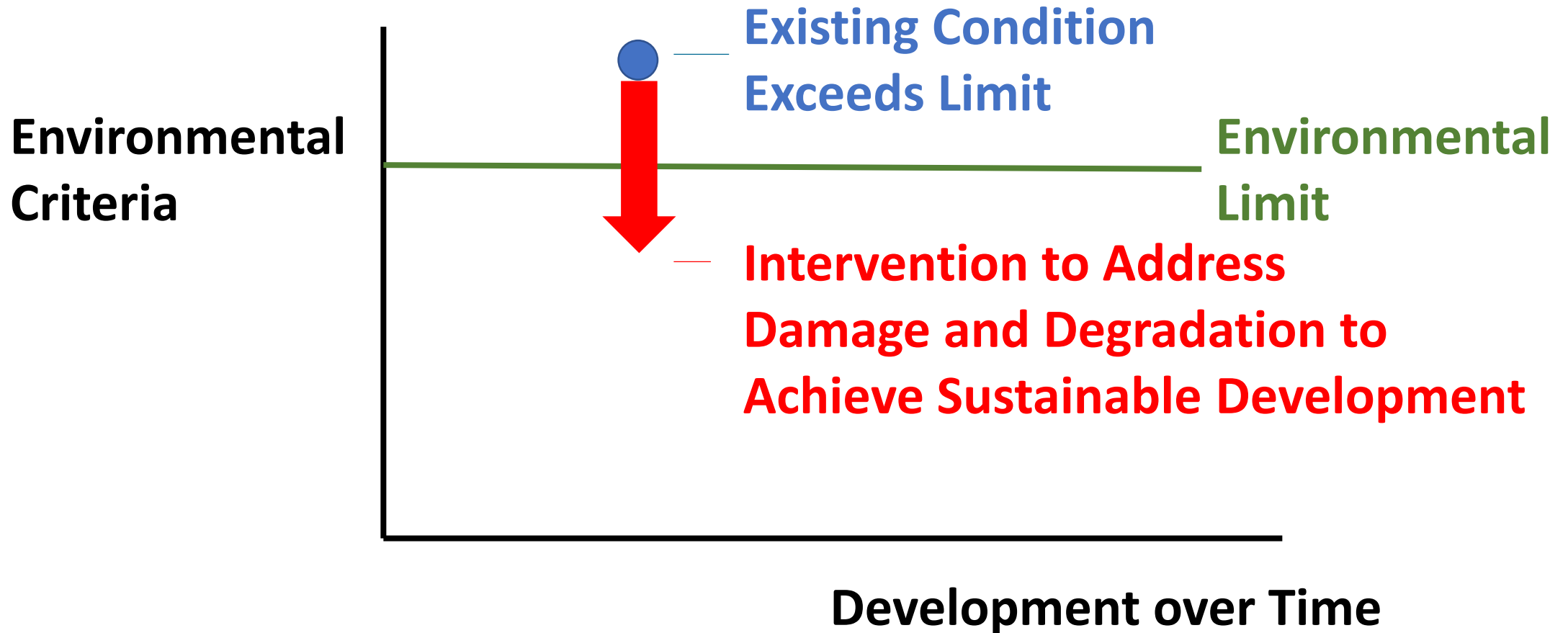


**SOLUTION:** Outcome-based management which needs a different approach

# IMPACT MANAGEMENT: INCREMENTAL IMPACTS WITHIN ENVIRONMENTAL LIMITS



# SUSTAINABILITY STRATEGY: INTERVENTIONS WHEN ENVIRONMENTAL LIMITS EXCEEDED



# SUSTAINABILITY STRATEGIES

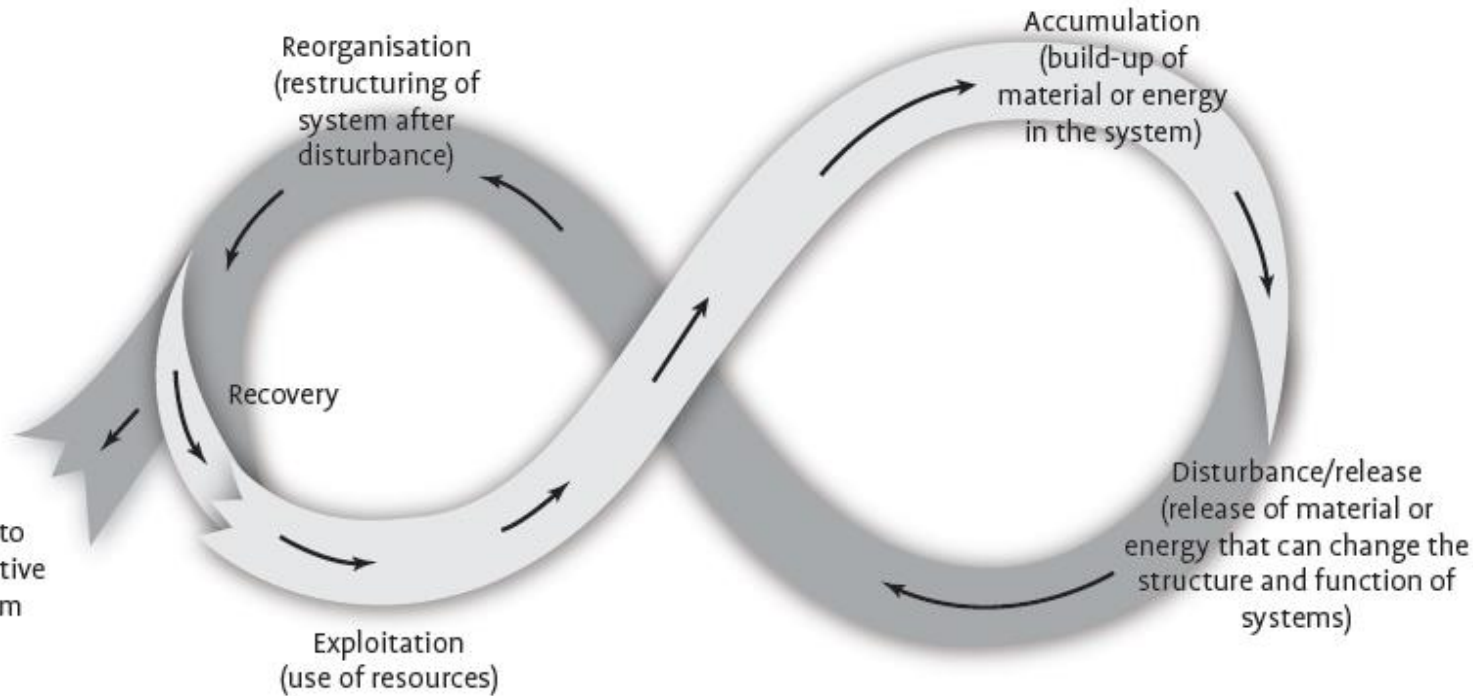
- Sustainability strategies need to address cumulative impacts of multiple users
- Complex systems analysis at bioregional scale in advance of further development
- Existing users are likely to have current environmental approvals
- Needs management of socio-economic system as well as biophysical system
- Needs willingness of existing users to change
- Needs a financial mechanism to implement
- Emphasis on incentives rather than regulation
- Allocation of allowable impact contributions to meet cumulative limits has equity considerations
- Nested system of monitoring and management of aggregate and individual impacts
- Existing and future development needs to be compatible with strategy

# SYSTEMS APPROACH FOR SUSTAINABLE OUTCOMES

1. ***Adaptive Cycle***: system response to disturbance
2. ***Linked Biophysical and Socio-economic systems***
3. ***Nested Adaptive Systems***: different spatial and time scales with linkages
4. ***Failure Pathways***: processes that can lead to system collapse
5. ***Critical Variables*** on failure pathways and thresholds leading to collapse
6. ***Management Interventions*** to address failure pathways
7. ***Sustainability Strategy***: combination of interventions to achieve sustainable outcomes

# ADAPTIVE CYCLE AND FAILURE PATHWAY ANALYSIS

- Interpret issues as adaptive cycles
- Identify critical variables for potential failure pathways and resilience thresholds



Adapted from Gunderson and Holling 2002

## PHASES OF ADAPTIVE CYCLE

### Exploitation

- Use of resources

### Accumulation

- Build-up of material or energy

### Disturbance

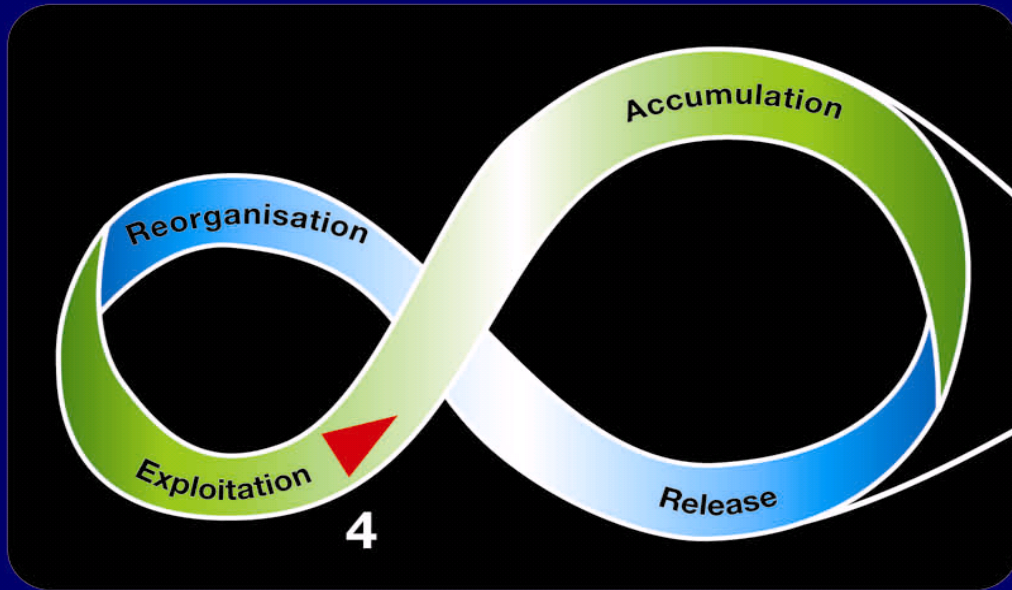
- Release that can change system

### Reorganisation

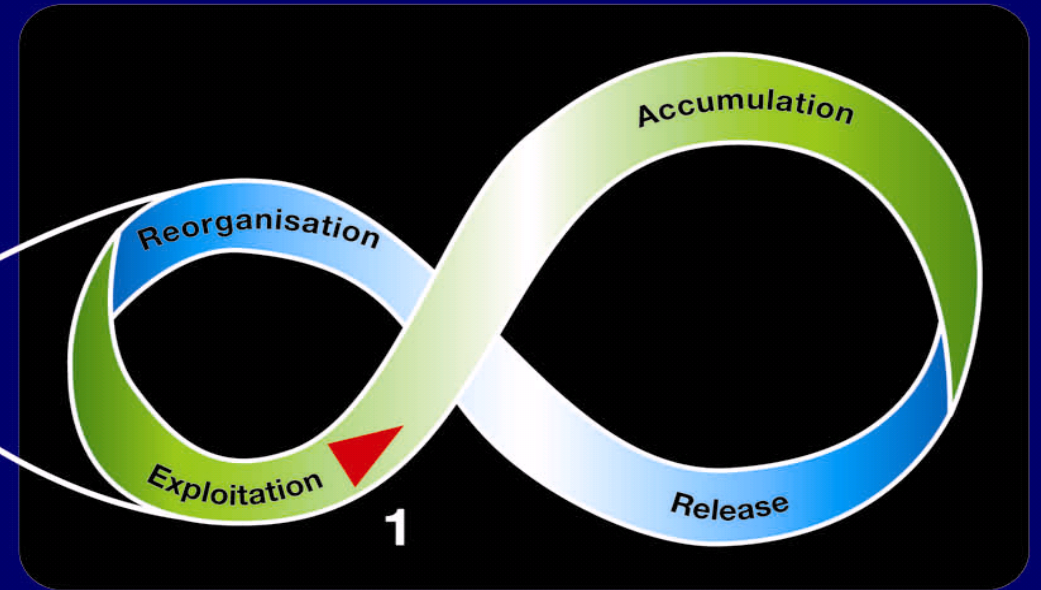
- Recovery, or
- Shift to alternative system

# TYPES OF SUSTAINABILITY ISSUES

## Socio-Economic Systems



## Biophysical Systems



3

2

1

4

1. Capacity of the Biophysical System to be maintained
2. Capacity of the linkages of the Socio-Economic System to the Biophysical System
3. Capacity of the linkages of the Biophysical System to the Socio-Economic System
4. Capacity of the Socio-Economic System to be maintained

# NESTED SYSTEMS: ALGAL BLOOMS IN RIVERS

## 1 *Catchment Exploitation*

Nutrient intensive farming

## 2 *Catchment Accumulation*

Cumulative load of nutrient sources

## 3 *Catchment Disturbance*

Contamination of runoff and groundwater

## 4 *Catchment Reorganisation*

Nutrient attenuation

## 5 *Streambed Exploitation*

Nutrient contamination of river

## 6 *Streambed Accumulation*

Build-up of periphyton

## 7 *Streambed Disturbance*

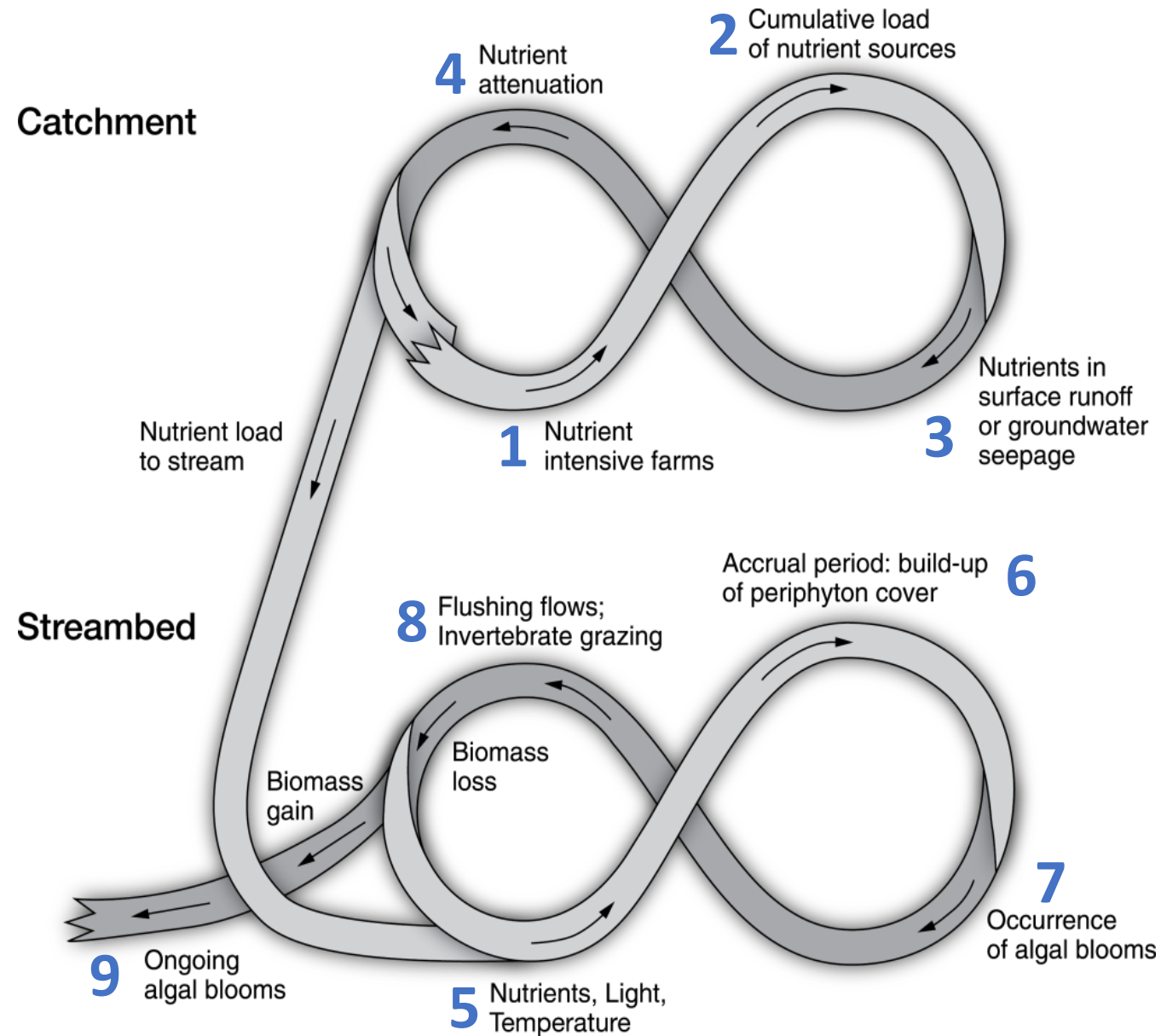
Occurrence of algal blooms

## 8 *Streambed Reorganisation*

Flushing flows; Invertebrate grazing

## 9 *Shift to Alternative System*

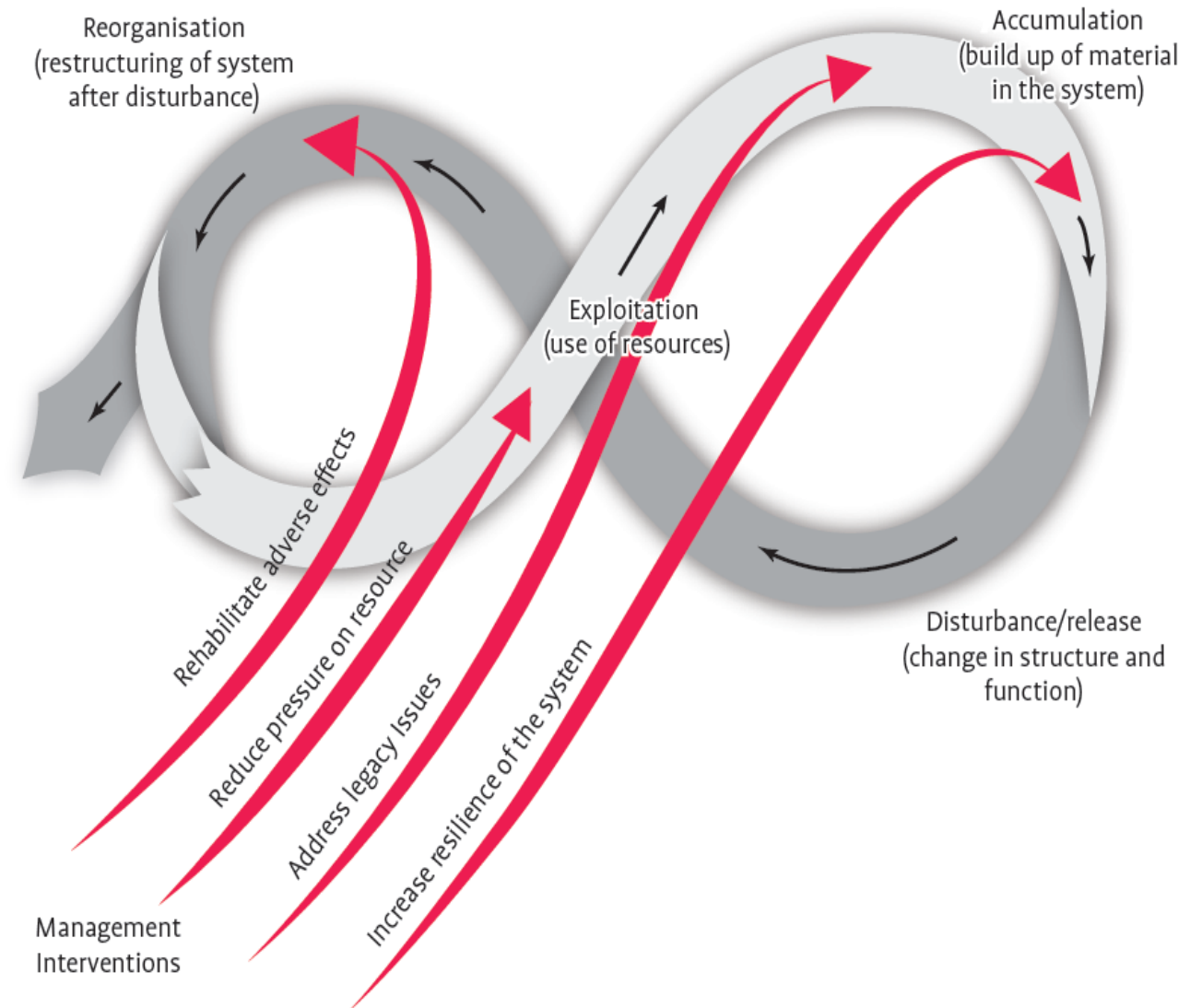
Ongoing algal blooms





# MANAGEMENT INTERVENTIONS TO ADDRESS FAILURE PATHWAYS

- Reduce pressure on resource
- Address legacy issues
- Increase resilience of the system
- Rehabilitate adverse effects



ADAPTIVE CYCLE PHASES	CRITICAL VARIABLES	MANAGEMENT INTERVENTIONS
<i>Catchment Exploitation</i> Nutrient intensive farming	Nutrient loss rates	Improved farm management practices to reduce loss rates
<i>Catchment Accumulation</i> Cumulative load of nutrient sources	Catchment contaminant load	Catchment limit on contaminant load
<i>Catchment Disturbance</i> Contamination of runoff and groundwater	Nutrient concentration in surface runoff and groundwater seepage	Riparian planting Woodchip bioreactors
<i>Catchment Reorganisation</i> Nutrient attenuation	Nutrient attenuation factors	Constructed wetlands
<i>Streambed Exploitation</i> Nutrient contamination of river	Nutrient concentrations, temperature, light	Concentration limits for nutrients Shading of streambed
<i>Streambed Accumulation</i> Build-up of periphyton	Accrual period between flushing flows	Maintenance of freshes in environmental flow requirements
<i>Streambed Disturbance</i> Potential for algal blooms	Periphyton cover Chlorophyll a level	Public health warnings
<i>Streambed Reorganisation</i> Recovery from algal blooms	Flushing flows Invertebrate grazing	Sediment removal to increase invertebrate habitat

## SOCIO-ECONOMIC PHASES

### 1 *Exploitation*

Use of human and economic resources

### 2 *Accumulation*

Build-up of knowledge, social, cultural and economic capital

### 3 *Disturbance*

Formulation of new approaches

### 4 *Reorganisation*

Development of new institutional arrangements

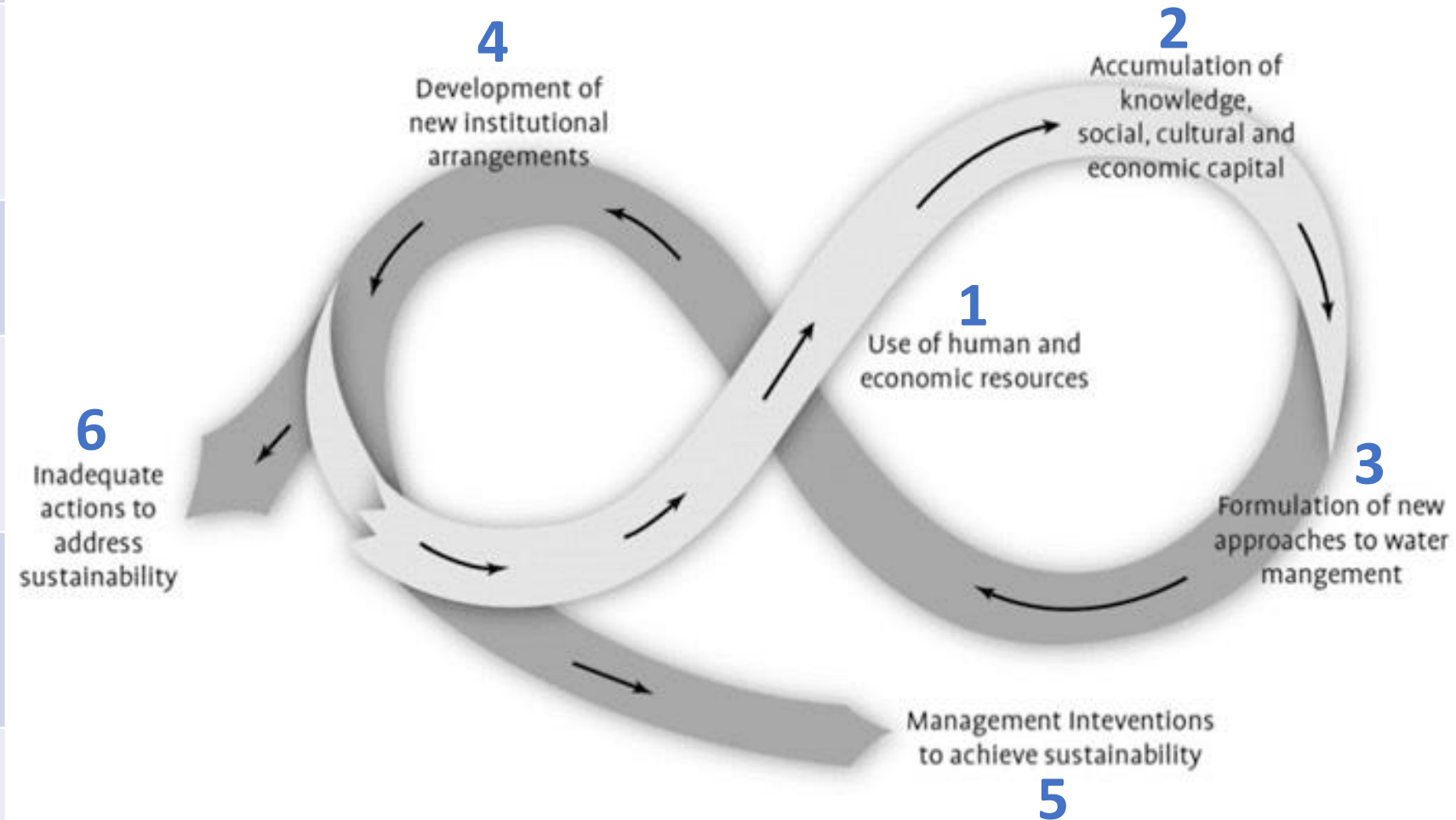
### 5 *Sustainability transformation*

Management interventions to achieve sustainability

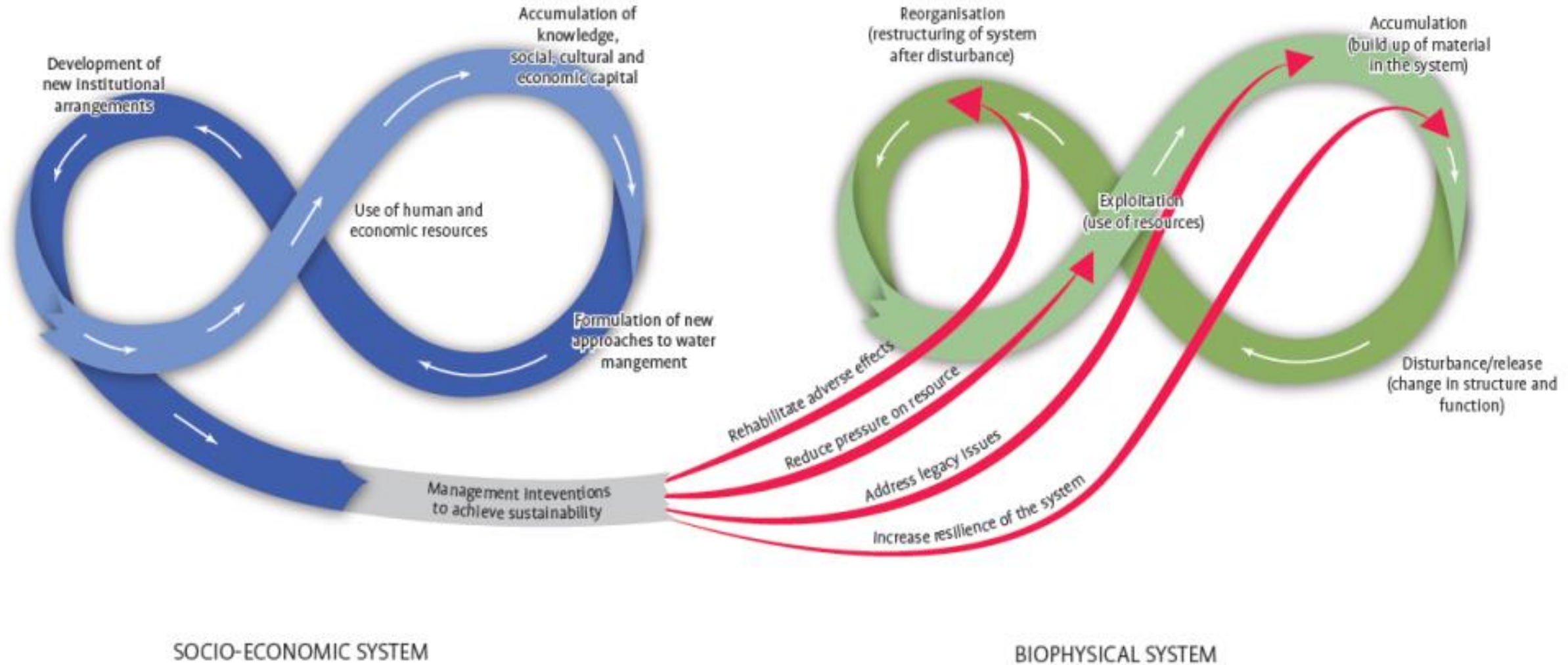
### 6 *Sustainability failure*

Inadequate actions to address sustainability

## INSTITUTIONAL ARRANGEMENTS FOR MANAGEMENT INTERVENTIONS



# FRAMEWORK FOR DEVELOPING SUSTAINABILITY STRATEGIES



## Hurunui Catchment Analysis

- Pahau tributary greatest nutrient contributor

## Outcome Sought

- Reduction in nutrient load

## Actions

- Stock access control and land use improvements by farmers
- Riparian planting by river reach groups
- Irrigation management by irrigation company

## Regional Council Actions

- Facilitation and extension advice
- Water quality monitoring

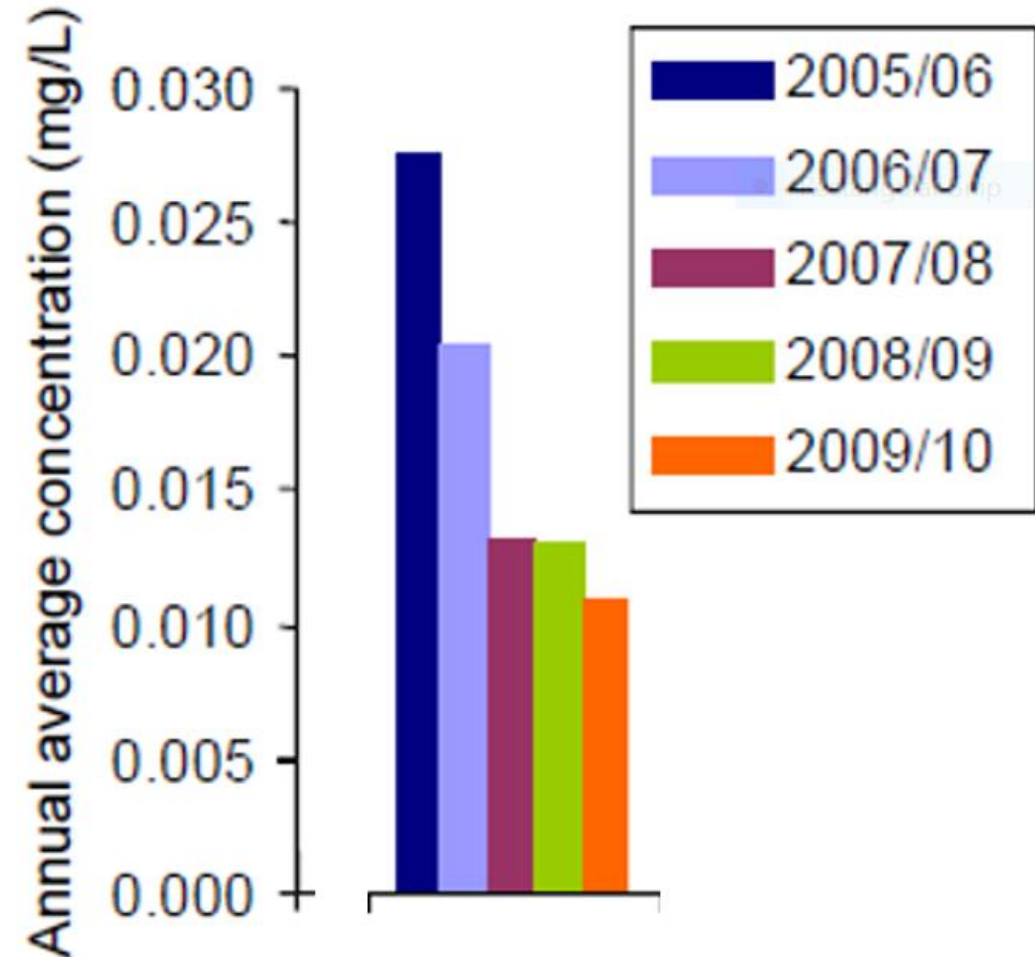
## Institutional Arrangements

- Community/government partnership

## Financing

- Voluntary actions by farmers

## STRATEGY FOR PAHAU CATCHMENT



**Reduction in annual average phosphorus concentration in the Pahau River**

## Christchurch Airshed Analysis

- Particulates from wood-burners 90% of peak concentrations during inversions

## Outcome Sought

- Particulates meet Air Quality Standard

## Actions

- Replace wood-burners with heat pumps

## Regional Council Actions

- Subsidy for heat pump installation
- Regulation for wood-burners
- Project management service

## Institutional Arrangements

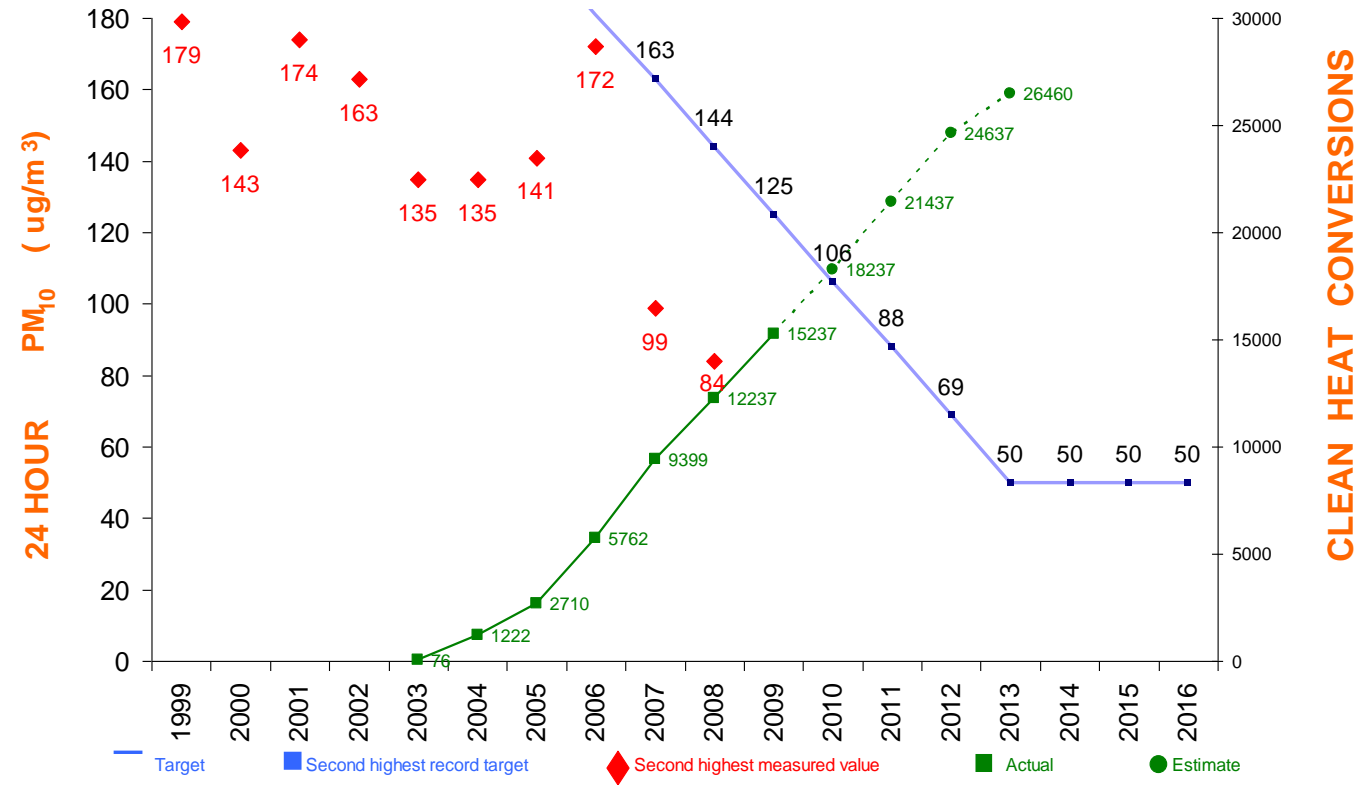
- “Clean Heat” group of inspectors and project managers

## Funding Mechanism

- Beneficiary contribution by targeted rate

# STRATEGY FOR CHRISTCHURCH AIRSHED

AIR QUALITY AND HEATING CONVERSIONS IN CHRISTCHURCH



# REVOLUTION NOT EVOLUTION

<b>Outcome Based Management</b>	<b>Effects Based management</b>
Sustainability Strategies based on Nested Adaptive Systems	Projects and Programs with Impact Assessment
Strategy-led Development	Proponent-led Development
Systems Analysis of Failure Pathways and Management Interventions	Impact Analysis of Effects of Actions
Focus on Outcomes	Focus on Effects
Consideration of All Users	Focus on New Actions
Focus on Incentives	Focus on Regulation
Monitoring and Management of Aggregate and Individual Outcomes	Compliance with Conditions of Approval
Redesign Institutional Arrangements	Reliance on Existing Institutional Arrangements
Need Financial Mechanism for Implementation	Proponent Bears Cost of Implementation